### **DRIVER QUALIFICATION FILE INFORMATION FORM**

| COMPANY                   | NAME                     |                 | COMPANY C            | ODE      | :   | DRIVER ID |        |
|---------------------------|--------------------------|-----------------|----------------------|----------|-----|-----------|--------|
| EMPLOYE                   | E NAME <sup>(Last)</sup> |                 | (First)              |          |     |           | (M.I.) |
| PHYSICAL                  | (Street Address)         |                 |                      |          |     |           |        |
| ADDRESS                   | (City)                   |                 |                      | (State   | te) | (ZIP      | )      |
| (If Different From Above) | (Street Address)         |                 |                      |          |     |           |        |
| ADDRESS                   | (City)                   |                 |                      | (State   | te) | (ZIP      | )      |
|                           | (Home)                   |                 |                      | (Name)   |     |           |        |
| PHONE                     | (Cell)                   |                 | EMERGENCY<br>CONTACT | (Numbe   | ər) |           |        |
| BIRTHDATE                 |                          | SOCIAL SECURITY |                      | (Relatio | on) |           |        |

| LICENSE INFORM | ATION |                |           |     |
|----------------|-------|----------------|-----------|-----|
| ISSUING STATE  |       | LICENSE NUMBER | EXPIRATIO | DN  |
| CLASS          |       | ENDORSEMENTS   | RESTRICTI | ONS |

| GENERAL INFORMATION    |                       |  |
|------------------------|-----------------------|--|
| ARE YOU MARRIED/SINGLE | MEDICAL EXAM DUE DATE |  |
| NUMBER OF EXEMPTIONS   |                       |  |

|                      |   | Signature         |
|----------------------|---|-------------------|
| DRIVER CERTIFICATION | I am certifying that all answers contained herein |                   |
| OF INFORMATION       | is true and correct to the best of my knowledge   | Date (mm/dd/yyyy) |

DQ FILE NOTES

| Applicant Name |       | Date o | f Application |  |
|----------------|-------|--------|---------------|--|
| Company        |       |        |               |  |
| Address        |       |        |               |  |
| City           | State |        | Zip Code      |  |

In compliance with Federal and State Equal Employment Opportunity Laws, qualified applicants are considered for all positions without regard to race, color, religion, sex, national origin, age, marital status, veteran status, non-job related disability, or any other protected group status.

#### TO BE READ AND SIGNED BY APPLICANT

I authorize you to make such investigations and inquiries of my personal, employment, financial or medical history and other related matters as may be necessary in arriving at an employment decision. (Generally, inquiries regarding medical history will be made only if and after a conditional offer of employment has been extended.) I hereby release employers, schools, health care providers and other persons from all liability in responding to inquiries and releasing information in connection with my application.

In the event of employment, I understand that false or misleading information given in my application or interview(s) may result in discharge. I understand also, that I am required to abide by all rules and regulations of the company.

I understand that information I provide regarding current and/or previous employers may be used, and those employer(s) will be contacted, for the purpose of investigating my safety performance history as required by 49CFR391.23(d) and (e). I understand that I have the right to:

-Review information provided by previous employers:

-Have errors in the information corrected by previous employers and for those previous employers to re-send the corrected information to the prospective employer; and

-Have a rebuttal statement attached to the alleged erroneous information, if the previous employer(s) and I cannot agree on the accuracy of the information.

|                | I am certifying that I have agreed to the above statements and permissions. I have<br>granted permission to the above company or it's agents to investigate my history to | Signature         |
|----------------|---|-------------------|
| OF INFORMATION | the extent as outlined on this document pursuant to my seeking employment. This<br>documentation is in no way a guarantee of my employment.                               | Date (mm/dd/yyyy) |

OFFICE NOTES

| (Position Applied For)                       |               |                          |  |              |              |            |   |   |            |          |            |                      |       |         |                 |       |     |
|--|---------------|--------------------------|--|--------------|--------------|------------|---|---|------------|----------|------------|----------------------|-------|---------|-----------------|-------|-----|
| (Name-Last, First, MI)                       |               |                          |  |              |              |            |   | (   | Social Sec | curity N | umber)     |                      |       |         |                 |       |     |
| (Current Street Address)                     |               |                          |  |              | (Curr        | rent City) |   |   |            | (Cu      | irrent Sta | te)                  |       | (Currer | nt Zip)         |       |     |
| (Current Phone)                              |               |                          | (How Long At This Address)<br>Years- Months- |              |              |            | Have You Lived At This Address<br>For The Past 3 Years? |   |            |          | ```        | YES                  |       | NO      |                 |       |     |
| If you have n                                | ot lived      | at the abov              | /e a   | ddress       | s for 3 ye   | ars yo     | u must list y   | /oui  | prev       | viou     | s ado      | dresse               | s for | the p   | ast 3           | years | 5.  |
| (Street Address)                             |               |                          | (Ci  | ity)         |              |            | (State)   |   |            | I        |            | ong Have<br>This Add |       | Years-  |                 | Mont  | าร- |
| (Street Address)                             |               |                          | (Ci  | ity)         |              |            | (State)   |   |            | 1        |            | ong Have<br>This Add |       | Years-  |                 | Mont  | ıs- |
| (Street Address)                             |               |                          | (Ci  | (City) (Stat |              |            | (State)   | How Long Have You<br>Lived At This Address? |            |          |            |                      |       | าร-     |                 |       |     |
|  |               |                          |  | _            |              |            |   |   |            | _        |            |                      |       |         |                 |       |     |
| Do you have the legal right to we            | ork in the Un | ited States?             |  | YES          | NO           | Date of    | Birth   |   |            |          |            | Can you<br>proof c   | •     |         | YES             | ;     | NO  |
| Have you worked for this compa               | any before?   | YES                      |  | NO           | Where?       |            |   | C   | ates       | (MM/`    | (YYY)      |                      |       | to (MA  | <i>I</i> /YYYY) |       |     |
| Rate of Pay                                  |               | Reason(s) fo<br>Leaving? | or   |              |              |            |   |   |            |          |            |                      | Pos   | sition  |                 |       |     |
| Are you currently employed?                  | YE            | S NO                     | lf no  | ot, how lo   | ng since lea | ving last  | employment?   |   |            |          | Refe       | erred by             |       |         |                 |       |     |
| Have you ever been bonded?                   | YES           | S NO                     | Nam  | ne of bon    | ding compai  | ny         |   |   |            |          |            | pected<br>e of Pay   |       |         |                 |       |     |
| Have you ever been<br>convicted of a felony? | YE            | S NO                     |  |              |              |            | space below, give<br>is not an automa                   |   |            |          |            |                      |       |         |                 |       | on. |
|  |               |                          |  |              |              |            |   |   |            |          |            |                      |       |         |                 |       |     |
|  |               |                          |  |              |              |            |   |   |            |          |            |                      |       |         |                 |       |     |
|  |               |                          |  |              |              |            |   |   |            |          |            |                      |       |         |                 |       |     |

Is there any reason you might be unable to perform the functions of the job for which you have applied (as described in the attached job description)?

NO

YES

If YES, explain if you wish

OFFICE NOTES

**EMPLOYMENT HISTORY** 

All driver applicants to drive in interstate commerce must provide the following information on all employers during the preceding 3 years. List complete mailing address, street number, city, state, and zip code.

Applicants to driver a commercial motor vehicle\* in interstate commerce shall also provide an additional 7 years information on those employers for whom the applicant operated such vehicle.

#### NOTE: List employers in reverse order starting with the most recent. NO GAPS IN EMPLOYMENT-MUST SHOW 10 YEAR HISTORY.

| (Employer)  |     |    | (Start Date MM/YYYY) |
|---|-----|----|----------------------|
| (Address)   |     |    | (End Date MM/YYYY)   |
| (City) (State) (Zip Code)   |     |    | (Position)           |
| (Contact) (Phone Number)  |     |    | (Salary/Wage)        |
| Were you subject to the FMCSR's while employed?   | YES | NO | (Reason For Leaving) |
| Was your job designated as a safety-sensitive function in any DOT-Regulated mode subject<br>to the drug and alcohol testing requirements of 49 CFR Part 40? | YES | NO |                      |
| (Employer)  |     |    | (Start Date MM/YYYY) |
| (Address)   |     |    | (End Date MM/YYYY)   |
| (City) (State) (Zip Code)   |     |    | (Position)           |
| (Contact) (Phone Number)  |     |    | (Salary/Wage)        |
| Were you subject to the FMCSR's while employed?   | YES | NO | (Reason For Leaving) |
| Was your job designated as a safety-sensitive function in any DOT-Regulated mode subject<br>to the drug and alcohol testing requirements of 49 CFR Part 40? | YES | NO |                      |
| (Employer)  |     |    | (Start Date MM/YYYY) |
| (Address)   |     |    | (End Date MM/YYYY)   |
| (City) (State) (Zip Code)   |     |    | (Position)           |
| (Contact) (Phone Number)  |     |    | (Salary/Wage)        |
| Were you subject to the FMCSR's while employed?   | YES | NO | (Reason For Leaving) |
| Was your job designated as a safety-sensitive function in any DOT-Regulated mode subject<br>to the drug and alcohol testing requirements of 49 CFR Part 40? | YES | NO |                      |
| (Employer)  |     |    | (Start Date MM/YYYY) |
| (Address)   |     |    | (End Date MM/YYYY)   |
| (City) (State) (Zip Code)   |     |    | (Position)           |
| (Contact) (Phone Number)  |     |    | (Salary/Wage)        |
| Were you subject to the FMCSR's while employed?   | YES | NO | (Reason For Leaving) |
| Was your job designated as a safety-sensitive function in any DOT-Regulated mode<br>subject to the drug and alcohol testing requirements of 49 CFR Part 40? | YES | NO |                      |
| (Employer)  |     |    | (Start Date MM/YYYY) |
| (Address)   |     |    | (End Date MM/YYYY)   |
| (City) (State) (Zip Code)   |     |    | (Position)           |
| (Contact) (Phone Number)  |     |    | (Salary/Wage)        |
| Were you subject to the FMCSR's while employed?   | YES | NO | (Reason For Leaving) |
| Was your job designated as a safety-sensitive function in any DOT-Regulated mode<br>subject to the drug and alcohol testing requirements of 49 CFR Part 40? | YES | NO |                      |
|   |     |    |                      |

The Federal Motor Carrier Safety Regulations (FMCSR's) apply to anyone operating a motor vehicle on a highway in interstate commerce to transport passengers or property when the vehicle: (1) Weighs or has a GVWR of 10,001 lbs. or more, (2) is designed or used to transport 9 or more passengers, or (3) is of any size and is used to transport Hazardous Materials in a quantity requiring placarding.

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Note To Applicant

<sup>\*</sup> Includes vehicles having a GVWR (Gross Vehicle Weight Rating) of 26,001 lbs. or more, vehicles designed to transport 15 or more passengers, or any size vehicle used to transport Hazardous Materials in a quantity requiring placarding.

| ACCIDENT R | ACCIDENT RECORD-List any accidents that have occurred in the last 3 years-Most recent accident first. |            |          |                              |  |  |  |  |  |  |  |
|------------|---|------------|----------|------------------------------|--|--|--|--|--|--|--|
| DATE       | NATURE OF ACCIDENT (Head-On, Rear-End, Roll-Over, etc)  | FATALITIES | INJURIES | HAZARDOUS<br>MATERIALS SPILL |  |  |  |  |  |  |  |
|            |   |            |          |                              |  |  |  |  |  |  |  |
|            |   |            |          |                              |  |  |  |  |  |  |  |
|            |   |            |          |                              |  |  |  |  |  |  |  |
|            |   |            |          |                              |  |  |  |  |  |  |  |

| TRAFFIC CONVICTIONS-List any traffic convictions or forfeitures for the last 3 years (Other Than Parking Violations) If none write NONE. |          |        |         |  |  |  |  |  |  |
|--|----------|--------|---------|--|--|--|--|--|--|
| DATE   | LOCATION | CHARGE | PENALTY |  |  |  |  |  |  |
|  |          |        |         |  |  |  |  |  |  |
|  |          |        |         |  |  |  |  |  |  |
|  |          |        |         |  |  |  |  |  |  |
|  |          |        |         |  |  |  |  |  |  |

| EXPERIENCE AND G | EXPERIENCE AND QUALIFICATION-DRIVER LICENSING - List all driver licenses or permits held in the past 3 years |                                     |     |    |  |  |  |  |  |  |
|------------------|--|-------------------------------------|-----|----|--|--|--|--|--|--|
| STATE            | LICENSE NUMBER   | LICENSE NUMBER TYPE EXPIRATION DATE |     |    |  |  |  |  |  |  |
|                  |  |                                     |     |    |  |  |  |  |  |  |
|                  |  |                                     |     |    |  |  |  |  |  |  |
|                  |  |                                     |     |    |  |  |  |  |  |  |
| Have you ever be | en denied a license, permit, or privilege to operat  | e a motor vehicle?                  | YES | NO |  |  |  |  |  |  |
| Has any lic      | ense, permit, or privilege ever been suspended c   | or revoked?                         | YES | NO |  |  |  |  |  |  |
|                  |  |                                     |     |    |  |  |  |  |  |  |

If the answer was YES to either of the above questions, give details:

| EXPERIENCE AND QUALIFICATION-DRIVING EXPERIENCE-Check Yes or No based on experience. |                               |    |  |      |      |                            |       |                                      |  |                |
|--|-------------------------------|----|--|------|------|----------------------------|-------|--------------------------------------|--|----------------|
| CLASS OF EQUIPMENT   | OPERATE (Check One)<br>YES NO |    | TYPE OF EQUIPMENT OPERATED (Check all that apply) VAN TANK FLAT DUMP REFER |      |      | DATES OF<br>FROM (MM/YYYY) |       | APPROXIMATE NUMBER<br>OF MILE DRIVEN |  |                |
| STRAIGHT TRUCK   | 123                           | NO | VAN  | TANK | FLAI | DOMP                       | REFER |                                      |  | OF MILE DRIVEN |
| TRACTOR/SEMI TRAILER   |                               |    |  |      |      |                            |       |                                      |  |                |
| TRACTOR/TWO TRAILERS   |                               |    |  |      |      |                            |       |                                      |  |                |
| TRACTOR/THREE TRAILERS   |                               |    |  |      |      |                            |       |                                      |  |                |
| MOTOR COACH/SCHOOL BUS   |                               |    |  |      |      |                            |       |                                      |  |                |
| OTHER-   |                               |    |  |      |      |                            |       |                                      |  |                |

|             | LIST STATES YOU HAVE OPERATED IN THE LAST 5 YEARS-CHECK ALL THAT APPLY |          |  |               |  |             |  |                |  |                |  |                |     |       |     |
|-------------|--|----------|--|---------------|--|-------------|--|----------------|--|----------------|--|----------------|-----|-------|-----|
| ALABAMA     |  | DELAWARE |  | IOWA          |  | MICHIGAN    |  | NEW HAMPSHIRE  |  | OKLAHOMA       |  | TEXAS          | WYC | DMING |     |
| ALASKA      |  | FLORIDA  |  | KANSAS        |  | MINNESOTA   |  | NEW JERSEY     |  | OREGON         |  | UTAH           |     |       |     |
| ARIZONA     |  | GEORGIA  |  | KENTUCKY      |  | MISSISSIPPI |  | NEW MEXICO     |  | PENNSYLVANIA   |  | VERMONT        |     |       |     |
| ARKANSAS    |  | HAWAII   |  | LOUISIANA     |  | MISSOURI    |  | NEW YORK       |  | RHODE ISLAND   |  | VIRGINIA       |     |       |     |
| CALIFORNIA  |  | IDAHO    |  | MAINE         |  | MONTANA     |  | NORTH CAROLINA |  | SOUTH CAROLINA |  | WASHINGTON     |     |       |     |
| COLORADO    |  | ILLINOIS |  | MARYLAND      |  | NEBRASKA    |  | NORTH DAKOTA   |  | SOUTH DAKOTA   |  | WEST VIRGINIAN |     | PAGE  | - 5 |
| CONNECTICUT |  | INDIANA  |  | MASSACHUSETTS |  | NEVADA      |  | OHIO           |  | TENNESSEE      |  | WISCONSIN      |     | FAGE  | = ၁ |

| LIST ANY SP | LIST ANY SPECIAL COURSES OR TRAINING THAT YOU HAVE RECEIVED THAT WILL HELP YOU AS A DRIVER |  |     |    |  |  |  |  |  |  |  |  |
|-------------|--|--|-----|----|--|--|--|--|--|--|--|--|
| DATE        | TYPE OF TRAINING LOCATION  |  |     |    |  |  |  |  |  |  |  |  |
|             |  |  | YES | NO |  |  |  |  |  |  |  |  |
|             |  |  | YES | NO |  |  |  |  |  |  |  |  |
|             |  |  | YES | NO |  |  |  |  |  |  |  |  |
|             |  |  | YES | NO |  |  |  |  |  |  |  |  |

| LIST ANY DR | LIST ANY DRIVING SAFETY AWARDS THAT YOU HOLD AND FROM WHOM |                 |  |  |  |  |  |  |  |  |  |
|-------------|--|-----------------|--|--|--|--|--|--|--|--|--|
| DATE        | AWARD  | AWARDED BY WHOM |  |  |  |  |  |  |  |  |  |
|             |  |                 |  |  |  |  |  |  |  |  |  |
|             |  |                 |  |  |  |  |  |  |  |  |  |
|             |  |                 |  |  |  |  |  |  |  |  |  |
|             |  |                 |  |  |  |  |  |  |  |  |  |

#### EXPERIENCE AND QUALIFICATION-OTHER

LIST ANY OTHER TRUCKING, TRANSPORTATION, OR OTHER EXPERIENCE THAT MAY HELP IN YOUR WORK FOR THIS COMPANY:

LIST ANY OTHER COURSES OR TRAINING THAT YOU HAVE RECEIVED (OTHER THAN THOSE ALREADY SHOWN):

\_\_\_\_\_

LIST ANY SPECIAL EQUIPMENT OR TECHNICAL MATERIALS YOU CAN WORK WITH (OTHER THAN THOSE ALREADY SHOWN):

| EDUC   | DUCATION - CLICK ON THE HIGHEST GRADE LEVEL COMPLETED |   |   |   |   |   |   |   |    |    |    |  |   |   |   |   |    |  |
|--------|---|---|---|---|---|---|---|---|----|----|----|--|---|---|---|---|----|--|
|        | ELEMENTARY HIGH SCHOOL COLLEGE                        |   |   |   |   |   |   |   |    |    |    |  |   |   |   |   |    |  |
| 1      | 2   | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |  | 1 | 2 | 3 | 4 | 5+ |  |
| LAST S | LAST SCHOOL ATTENDED (Name) (City) (State)            |   |   |   |   |   |   |   |    |    |    |  |   |   |   |   |    |  |
|        | TO BE READ AND SIGNED BY APPLICANT                    |   |   |   |   |   |   |   |    |    |    |  |   |   |   |   |    |  |

This certifies that this application was completed by me, and that all entries on it and information in it is true and complete to the best of my knowledge.

Signature: \_

Date: \_

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# PREVIOUS EMPLOYER INQUIRY

| PROSPECTIVE                                       | EMPLC  | OYER      |             |       |  |          |        |            |           |            |              |            |               |               |                           |   |
|---|--|-----------|-------------|-------|--|----------|--------|------------|-----------|------------|--------------|------------|---------------|---------------|---------------------------|---|
| ATTENTIO  | N  |           |             |       |  |          |        |            |           |            |              |            |               |               |                           |   |
| ADDRESS   | ;  |           |             |       |  |          |        |            |           |            |              |            |               |               |                           |   |
| CITY  |  |           |             |       |  |          |        |            | 5         | STATE      |              |            | z             | IP            |                           |   |
| PHONE   |  |           |             |       |  |          |        |            |           | FAX        |              |            |               |               |                           |   |
|   |  | DRIV      | ER COM      | ISE   | NT ST                                    | ATE      | MENT   | FOR F      | PRE       | VIOUS      |              | OYEI       | RINQUIF       | RY            |                           |   |
| I,  |  |           |             | (F    | Print Na                                 | me) do   | hereby | give co    | nsen      | t to relea | se informa   | ation f    | or the purp   | oses of in    | vestigatio                | on as required  |
| by Section 49CFR39<br>result of providing th      |  |           | f the Fede  |       |  |          |        |            |           |            |              |            |               |               |                           |   |
| Signature   |  |           |             |       |  |          |        |            | Date      |            |              |            |               |               |                           |   |
| PREVIOUS EMI                                      | PLOYER   |           | JIRY INI    | OR    | MATI                                     | ON R     | EQUE   | ST         |           |            |              |            |               |               |                           |   |
| Company Name                                      |  |           |             |       |  |          |        |            |           |            |              |            | MET           | HOD OI        | F CONT.                   | ACT   |
| Address   |  |           |             |       |  |          |        |            |           |            |              |            |               | C             | ate Sent                  | /Received   |
| City  |  |           |             |       | State                                    |          |        |            | z         | ip         |              | ۸          | lailed        |               | /                         |   |
| Phone Number                                      |  |           |             |       | Fax N                                    | umber    |        |            |           |            |              | f F        | Faxed         |               |                           |   |
| Driver's Name                                     |  |           |             |       | Social Security Number                   |          |        |            |           | 1 E        | -mail        |            | /             |               |                           |   |
| The above named                                   | drivor has   | made a    | n annlicati | on wi | ith our                                  | Hire Dat | e      |            |           |            |              | <b>1</b> ⊧ | hone          |               | /                         |   |
| company and st                                    |  |           |             |       |  | Term. Da | ate    |            |           |            |              | Spoke      | To:           |               |                           |   |
|   | We appreciate your time in completing, in confidence, the information requested below.       Attempt 1       Attempt 2       Attempt 3         Please update your company information if there were any errors. Thank you for your assistance.       Attempt 1       Attempt 2       Attempt 3 |           |             |       |  |          |        |            | Attempt 3 |            |              |            |               |               |                           |   |
| Dates of Emplo                                    | yment  | Hire Date |             |       |  | Term Da  | ate    |            |           |            | Job Title    |            |               | •             |                           |   |
| Did He/She Driv                                   | ve A Mot   | tor Vel   | hicle Fo    | r Yoi | L  | YES      | or NO  |            | lf Ye     | ES Wha     | at Type      |            |               |               |                           |   |
| 3 Year Accident                                   | History ·  | - DOT     | Record      | able  | Accid                                    | lents (  | Only   |            |           |            |              |            |               |               |                           |   |
| Date  | City   | у         |             |       |  |          |        |            |           |            | State        | # of Inj   | uries         | # of Fatali   | ties                      | Tow Away  |
| Date  | City   | у         |             |       |  |          |        |            |           |            | State        | # of Inj   | uries         | # of Fatali   | ties                      | Tow Away  |
| Date  | City   | у         |             |       |  |          |        |            |           | _          | State        | # of Inj   | uries         | # of Fatali   | ties                      | Tow Away  |
| Was He/She A Sa                                   | afe And E  | Efficier  | nt Driver   | YE    | S or I                                   | NO       | Was H  | e/She /    | ۹:        | Comp       | any Drive    | er         | Independ      | ent Con       | tractor                   | Fleet Driver  |
| Reason For Le                                     | aving Yo   | our Con   | npany       |       | Disch                                    | arged    |        | Resigr     | ned       | Li         | aid Off      | Ot         | her:          |               |                           |   |
| Eligible<br>for                                   |  | YES<br>or |             | Со    | mmoo                                     | dity H   | auled  |            |           |            |              |            |               |               |                           |   |
| Re-Hire   |  | NO        |             | A     | reas                                     | Trave    | eled   |            |           |            |              |            |               |               |                           |   |
| In the 3 y  | ears prio  | or to co  | ntractor's  | date  | ed relea                                 | ase, fo  | or DOT | Regula     | ated      | testing,   | did the d    | lriver     | ever have     | any of t      | he follov                 | ving:   |
| Any Refusal To Be Tested? YES or                  |  |           |             | NO    | An alcohol test with a result of 0.04 or |          |        |            |           |            | 4 or h       | or higher? |               |               | YES or NO                 |   |
| Verified positive                                 | Verified positive drug result? YES or NO Other violations of DOT agency drug and alcohol rule violation to you? YES or NO  |           |             |       |  |          |        |            |           |            |              |            |               |               |                           |   |
| Did a<br>If you answered YE                       | previous<br>S to any of  |           |             |       | -  |          |        |            |           | -          | y process    | _          | ES or NO      | app<br>Docu   | ropriate Ro<br>Iments (SA | YES, forward the<br>eturn To Duty<br>AP Completion)<br>this inquiry |
| Signature:  |  |           |             |       |  |          |        |            |           |            |              |            |               |               |                           |   |
| In compliance with 40.2<br>Prospective employer's |  |           |             |       |  |          |        | n a writte | n forr    | n to ensur | e confidenti | iality, s  | uch as fax, e | email, or let | tter.                     | PAGE 7  |

### CERTIFICATE OF VIOLATION ANNUAL REVIEW OF DRIVING RECORD

#### INSTRUCTIONS FOR MOTOR CARRIERS

Each motor carrier shall at least once every 12 months, require each driver it employees to prepare and furnish it with a list of all violations of motor vehicle traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted, or on the account that he/she has forfeited bond of collateral during the preceding 12 months (Section 391.27). Driver who have provided information required by Section 383.31 need not repeat the information on this form.

#### **INSTRUCTIONS FOR DRIVERS**

Each driver shall furnish the list as required by the motor carrier above. If the driver has not been convicted of, or forfeited bond or collateral on account of any violation which must be listed, he/she shall so certify (section 391.27).

|                    |                | COMPLETED BY D  | RIVER - CERT             | IFICATION OF V   | /IOLATIO       | NS                               |
|--------------------|----------------|---|--------------------------|--|----------------|----------------------------------|
| Drivers Name (Pr   | int)           |   | Socia                    | al Security Number                                       |                | Date Of Birth                    |
| Home Terminal (C   | City & State)  |   | Drive                    | rs License Number  | State          | Expiration Date                  |
|                    |                | ollowing is a true and cor<br>art 383) or which I have t<br><b>If you have ha</b> | been convicted or fo     |  | ateral during  |                                  |
| DATE               |                | OFFENSE   |                          | LOCATION   | ТҮР            | E OF VEHICLE OPERATED            |
|                    |                |   |                          |  |                |                                  |
|                    |                |   |                          |  |                |                                  |
|                    | lation (othe   | sted above, I certify that I<br>r than those I have provid                        | ded under Part 383       |  | ed during the  |                                  |
|                    |                |   | -                        |  |                |                                  |
| Review the         |                | of Violations listed above and o  | MOTOR CARRIER INS        | STRUCTIONS<br>Ded in Section 391.25 of                   |                | otor Carrier Safety Regulations. |
| I hav              | e hereby revie | ewed the driving record of the a  | bove named driver in ac  | ccordance with Section 3                                 | 92.25 and find | that he/she (check one):         |
|                    | Meets the r    | ninimum requirement for safe c  | driving.                 | Is disqualified to drive a                               | motor vehicle  | pursuant to Section 392.25.      |
|                    |                | Does not ac   | dequately meet satisfact | tory safe driving performation                           | ance.          |                                  |
|                    |                | Α   | ction Taken W            | /ith Driver  |                |                                  |
|                    |                |   |                          |  |                |                                  |
|                    |                | Signature   |                          |  | Title          |                                  |
| Revie              | ewed           |   |                          |  |                |                                  |
| В                  | У              | Printed Name  |                          |  | Date           |                                  |
| Motor Carrier's Na | ame            | 1   |                          | Maintain this<br>qualification file. Th<br>after 3 years | nis document   | may be purged                    |

### MOTOR VEHICLE DRIVER'S CERTIFICATE OF COMPLIANCE WITH DRIVER'S LICENSE REQUIREMENTS

### MOTOR CARRIER INSTRUCTIONS

The requirements in Part 383 apply to every driver who operates in intrastate, interstate, or foreign commerce and operates a vehicle weighing 26,001 pounds or more, can transport more than 15 people or transport hazardous materials requiring placarding.

The requirements in Part 391 apply to every driver in interstate commerce and operates a vehicle weighing 10,001 pounds or more, can transport more than 15 people, or transport hazardous materials requiring placarding.

### **DRIVER REQUIREMENT**

Parts 383 and 391 of the Federal Motor Carrier Safety Regulations contain some requirements that you as a driver must comply with. These requirements are in effect as of July 1st, 1987. They are as follows:

### POSSESS ONLY ONE LICENSE

You as a commercial motor vehicle operator, may not possess more than one motor vehicle driver's license.

If you have more than one license, keep the license from your state of residency and return the additional licenses to the states that issued them. DESTROYING a license does not close the record in the state that issued it; you must notify the state. If a multiple license has been lost, stolen, or destroyed, close your record by notifying that state of issuance that you no longer want to be licensed in that state.

#### NOTIFICATION OF LICENSE SUSPENSION, REVOCATION OR CANCELLATION

Sections 392.15(b)(2) and 383.33 of the Federal Motor Carrier Safety Regulations require that you notify your employer the NEXT BUSINESS DAY of any revocation or suspension of your driver's license. In addition, Section 383.81 requires that any time you violate a state or local traffic law (other than parking) you must report it within 30 days to 1) your employing motor carrier, and 2) the state that issued your license (If the violation occurs in a state other than the one which issued your license). The notification to both the employer and the state must be in writing.

#### The following license is the only one I will possess:

Driver's License Number:

State:

Expiration Date:

# 

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### **PRE-EMPLOYMENT URINALYSIS NOTIFICATION**

The Federal Motor Carrier Safety Regulations, Section 382.301 -Pre-Employment testing requirements apply to driver - applicants of this company.

### 382.301 Pre-Employment Testing Requirements

(a) A motor carrier shall require a driver-applicant who the motor carrier intends to hire or use to be tested for the use of controlled substances as a pre-qualification condition.

(b) A driver-applicant shall submit to controlled substance testing as a pre-qualification condition.

(c) Prior to collection of a urine sample under 382.301 of this subpart, a driver - applicant shall be notified that the sample will be tested for the presence of a controlled substance.

As a condition of my employment, I agree to the urine sample collection and controlled substance testing.

I understand a positive test for controlled substances based on the Urinalysis Test will medically disqualify me from the operation of a commercial motor vehicle for this company.

The Medical Review Officer will maintain the results of the Urinalysis Test. Negative and positive results will be reported to the company.

My written authorization is required for the Urinalysis Test results to give to other parties.

I have read and understand the above conditions for the Pre-Employment Urinalysis Notification.

| Applicant's Name (Print):           |       |
|-------------------------------------|-------|
| Applicant's Signature:              | Date: |
| Company Representative's Signature: | Date: |

OFFICE NOTES:

### PREVIOUS PRE-EMPLOYMENT EMPLOYEE ALCOHOL AND DRUG TEST STATEMENT

Section 40.25(j) As the employer, you must also ask the employee whether he or she has tested positive, or refused to test, on any pre-employment drug or alcohol test administered by an employer to which the employee applied for, but did not obtain, safety-sensitive transportation work covered by DOT agency drug or alcohol testing rules during the past two years. If the employee admits that he or she had a positive test or a refusal to test, you must not use the employee to perform safety-sensitive functions for you, until and unless the employee documents successful completion of the return to duty process. (See Sections 40.25(b)(5) and (e)).

| Drean active Employee Name (Drint) |                             |                          | ID Number   |         |
|------------------------------------|-----------------------------|--------------------------|---|---------|
| Prospective Employee Name (Print): |                             |                          | ID Number:  |         |
| The prospective employee           | is required by Section 40   | 0.250 to respond to th   | e following:  |         |
|                                    |                             |                          | or alcohol test administered by an employer to<br>y DOT agency drug and alcohol testing rules |         |
| Check One:                         | Yes                         | No                       |   |         |
| 2) If you answered yes, ca         | an you provide (obtain proo | f that you've successful | ly completed the DOT return-to-duty requirem  | ents)?  |
| Check One:                         | Yes                         | No                       |   |         |
| I certify that the                 | e information pr            | ovided on thi            | s document is true and co   | orrect. |
| Prospective Employee Signature:    |                             |                          | Date:   |         |
| Witnessed By (Signature):          |                             |                          | Date:   |         |
| Notes:                             |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   |         |
|                                    |                             |                          |   | PAGE 11 |
| L                                  |                             |                          |   |         |

### DRIVER NOTIFICATION LETTER Appendix B

I certify that I have received a copy of, and have read the above company policy on the Alcohol and Drug Testing procedures. I understand that as a condition of employment as a driver, I must comply with these guidelines, and do agree that I will remain medically qualified by following these procedures. If I develop a problem with alcohol or drug abuse during my employment with

I will seek assistance through the current alcohol and drug testing administrator.

| Signature:                          | Date: |
|-------------------------------------|-------|
| Authorized Employer Representative: | Date: |
|                                     |       |

OFFICE NOTES:

### ALCOHOL AND DRUG EMPLOYEE'S CERTIFIED RECEIPT **APPENDIX E**

### EMPLOYEE'S NAME

### COMPANY

This is to certify that I have been provided educational materials required by 382.601 and my employer policies and procedures with respect to meeting the Part 382 requirements. The materials include detailed discussions of the following checked items:

1. The designated person to answer questions about the materials.

2. The categories of drivers subject to Part 382.

3. Sufficient information about the safety-sensitive functions and periods of the workday that compliance is required.

4. Specific information concerning prohibited driver conduct.

5. Circumstances under which a driver will be tested.

6. Test procedures, driver protection and integrity of the testing processes, and safeguarding the validity of the test.

7. The requirement that tests are administered in accordance with Part 382,

8. An explanation of what will be considered a refusal to submit to a test and the consequences.

The consequences for Part 382 Subpart B violations including removal from safety-sensitive functions and 9. Part 40 Subpart O procedures.

10. The consequences for drivers found to have an alcohol concentration of .02 or greater but less than .04.

11. Information on the affects of alcohol and controlled substances use on: -An Individuals Health -Signs and Symptoms of a Problem -Work -Available Methods of Intervening -Personal Life (When a Problem Is Suspected)

12. Optional Information:

| Employe  | D's Signature:                | Date: |
|----------|-------------------------------|-------|
|          |                               |       |
| Authoriz | ed Employer Representative: D | Date: |
|          |                               |       |

Notes:

# **RECORD OF ROAD TEST**

### **EMPLOYEE'S NAME**

### COMPANY

### This road test includes testing the driver for the following skills:

|  | 1. Pre-trip inspections. |
|--|--------------------------|
|--|--------------------------|

2. Coupling and uncoupling of tractors and semi-trailers, if required,

3. Placing vehicle in operation

4. Use of controls and emergency equipment.

5. Operating in traffic and passing other vehicles.

6. Making turns in traffic (does driver check mirrors when making right turns).

7. Braking and slowing by means other than braking.

8. Safe backing and parking (does driver ensure all is clear before backing)

I gave the driver named above a road test, and he/she performed all of the above and other related activities satisfactorily, except (explain):

Indicate where additional training is needed:

Was the importance of the Pre-Trip Inspections Explained to the driver: YES NO

Signature of Examiner:

### **CERTIFICATE OF ROAD TEST**

Date:

| Driver's Name:  |                  | Social Security Number: |                 |
|---|------------------|-------------------------|-----------------|
| Operators/CDL License#:   | State:           | Expiration Date:        |                 |
| Type of Power Unit:   | Type of Trailer: |                         |                 |
| considered opinion that this driver possesses sufficier vehicle listed above. | proximately      | miles of drivi          | ng and it is my |
| Signature of Examiner:  |                  | Title:                  |                 |
| 391.33 carrier accepts copy of CDL license in lieu of road test-              | Copy of CDL Atta | ached                   | PAGE 14         |

### **DRIVER STATEMENT OF ON-DUTY HOURS**

### (For Newly-Hired Drivers)

#### INSTRUCTIONS Motor carriers when using a driver for the first time shall obtain from the driver a signed statement giving the total time on duty during the immediately preceding 7 days and time at which such driver was last relieved from duty prior to beginning work for such carrier. Rule 395.80(2) Federal Motor Carrier Safety Regulations. NOTE: Hours for any compensated work during the preceding 7 days, including work Social Security Number: Driver's Name (Print): Driver's License Number: Class: Endorsement(s): Restriction(s): Type of License: Issuing State: 2 3 4 5 6 7 1 DAY (YESTERDAY) DATE TOTAL HOURS HOURS WORKED I hereby certify that the information given above is correct to the best of my knowledge and belief, and that I was last relieved from work at: TIME: DATE: ON Driver's Signature: DATE:

### **DRIVER'S CERTIFICATION FOR OTHER COMPENSATED WORK**

| INSTRUCTIONS<br>When employed by a motor carrier, a driver must report to the carrier all on-duty time including time working for other employers. The<br>definition of on-duty time found in Section 395.2 paragraphs (8) and (9) of the Federal Motor Carrier Safety Regulations includes time<br>performing any other work in the capacity of , or in the employ or service of, a common, contract or private motor carrier, also performing<br>any other compensated work for employer other than a motor carrier. |       |    |         |
|--|-------|----|---------|
| Are you currently working for another employer?  | YES   | NO |         |
| At this time do you intend to work for another employer while still employed by this company?  | YES   | NO |         |
| I hereby certify that the information given above is true and I understand that once I become employed with this company, if I begin working for any additional employer(s) for compensation that I must inform this company immediately of such employment activity.  |       |    |         |
| Driver's Signature:  | Date: |    |         |
| Witness Signature (Company Representative):  | Date: |    |         |
| Notes:   |       |    |         |
|  |       |    |         |
|  |       |    |         |
|  |       |    |         |
|  |       |    |         |
|  |       |    | PAGE 15 |

# **General Consent For DACH**

### General Consent for Limited Queries Of The Federal Motor Carrier Safety Administration (FMCSA) Drug and Alcohol Clearinghouse

| , hereby provide consent for Mann Consultant Services Inc. to conduct  |  |  |  |
|--|--|--|--|
| (Applicant's Printed Name)<br>a limited query of the FMCSA Commercial Driver's License Drug and Alcohol Clearinghouse to determine whether |  |  |  |
| drug or alcohol violation information about me exists in the Clearinghouse. This consent will be valid for the entire                      |  |  |  |
| period of my employment with the company in which I am applying. I can revoke this consent at any time by                                  |  |  |  |
| submitting a written letter of notification to both the employer and Mann Consultant Services, Inc.  |  |  |  |
|  |  |  |  |
| I understand that if the limited query conducted by Mann Consultant Services, Inc. indicates that drug or alcohol                          |  |  |  |
| violation information about me exists in the Clearinghouse, FMCSA will not disclose that information to Mann                               |  |  |  |
| Consultant Services, Inc. without first obtaining additional specific consent from me.   |  |  |  |
|  |  |  |  |
| I further understand that if I refuse to provide consent for Mann Consultant Services, Inc. to conduct a limited query                     |  |  |  |
| of the Clearinghouse, must prohibit me from performing   |  |  |  |
| safety-sensitive functions, including driving a commercial motor vehicle, as required by FMCSA's drug and alcohol                          |  |  |  |
| program regulations.   |  |  |  |
|  |  |  |  |
| Employee Signature   |  |  |  |
|  |  |  |  |
| Date   |  |  |  |
|  |  |  |  |